

### Pre-Clearance of Trade Authorisation Form

Name of Beneficial Owner:	
Name of Employing Company:	

Transaction Type*:	Buy / Sell / Exercise / Call
Quantity:	
Type of Security*:	Shares / Options / Futures / CFD / Warrants / Other (specify):
Security Name:	Close Brothers Plc Ord 25p Other (specify):

\*Delete as appropriate

#### Declaration

I confirm:

- I have no inside information relevant to this dealing.
- I have read the Group PA Dealing Rules and believe that the proposed trade fully complies with the requirements of the policy.

<b>Signature:</b>	<b>Date:</b>

#### Approval

<b>Name:</b>	<b>Signature:</b>	<b>Date:</b>

Approval for CBG shares should be obtained from Company Secretariat, approval for all other securities within the scope of the policy should be obtained from Group Compliance.